Effective Date April 14, 2003

EYE CARE & SURGERY, P.C. NOTICE OF PRIVACY PRACTICES

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

If you have any questions about this notice please contact Velma Powell at 540 772 7171.

WHO WILL FOLLOW THIS NOTICE.

This notice describes our office's practices and that of:

- Any health care professional authorized to enter information into your chart.
- > All departments and units of the office
- Any member of a volunteer group we allow to help you while you are in the office
- > All employees, staff and other office personnel
- Eye Care & Surgery, P.C. and Blue Ridge Optical (all locations). These entities follow the terms of this notice at all locations. In addition, these entities, sites and locations may share medical information with each other for treatment, payment or office operations purposes described in this notice.

OUR PLEDGE REGARDING MEDICAL INFORMATION:

We understand that medical information about you and your health is personal. We are committed to protecting medical information about you. We create a record of the care and services you receive at the office. We need this record to provide you with quality care and to comply with certain legal requirements. This notice applies to all of the records of your care generated by the office, whether made by office personnel or your personal doctor.

Your personal doctor may have different policies or notices regarding the doctor's use and disclosure of your medical information created in the doctor's office or clinic.

This notice will tell you about the ways in which we may use and disclose medical information about you. We also describe your rights and certain obligations we have regarding the use and disclosure of medical information. We are required by law to:

make sure that medical information that identifies you is kept private;

give you this notice of our legal duties and privacy practices with respect to medical information about you; and

follow the terms of the notice that is currently in effect.

NORMAL PROCEDURES:

The customary and normal procedures of this office are:

Allowing family members and friends to make appointments for the patient

Calling patients to remind of appointments by speaking directly with the patient, leaving a message with the person who answers the phone at the home or the workplace, leaving a message on an answering machine or a voice mail

Appointment reminders are sent through the mail

Using a sign in sheet for patients who come in to be seen on any given day

Patient's names will be called out when updated information is needed or when being called back to be examined

During the course of the examination it may be necessary to show informational videos to a patient with other patients present

We will, in good faith, make every effort to protect your privacy as much as possible in the process of being seen and treated at Eye Care & Surgery, P.C.

Financial matters are discussed upon check in and check out, however, we will make every effort to be as discrete and private as possible under the physical constraints available in our offices

HOW WE MAY USE AND DISCLOSE MEDICAL INFORMATION ABOUT YOU

The following categories describe different ways that we use and disclose medical information. For each category of uses or disclosures we will explain what we mean and try to give some examples. Not every use or disclosure will be listed. However, all of the ways we are permitted to use and disclose information will fall within one of the categories.

For Treatment. We may use medical information about you to provide you with medical treatment or services. We may disclose medical information about you to doctors, nurses, technicians, medical students or other personnel who are involved in taking care of you at the office. For example, a doctor treating you for an eye injury may need to know if you have diabetes, because diabetes may slow the healing process. We also may disclose medical information about you to people outside the office who may be involved in your medical care after you leave the office, such as family members, clergy or others we use to provide services that are part of your care.

For Payment. We may use and disclose medical information about you so that the treatment and services you receive at the office may be billed to and payment may be collected from you, an insurance company or a third party. For example, we may need to give your health plan information about treatment received in our office so your health plan will pay us or reimburse you for the treatment. We may also tell your health plan about a treatment you are going to receive to obtain prior approval or to determine whether your plan will cover treatment.

For Health Care Operations. We may use and disclose medical information about you for the office operations. These uses and

disclosures are necessary to run the office and make sure that all of our patients receive quality care. For example, we may use medical information to review our treatment and services and to evaluate the performance of our staff in caring for you. We may also combine medical information about many office patients to decide what additional services the office should offer, what services are not needed, and whether certain new treatments are effective. We may also disclose information to doctors, nurses, technicians, medical students, and other office personnel for review and learning purposes. We may also combine the medical information whe have with medical information from other offices to compare how we are doing and see where we can make improvements in the care and services we offer. We may remove information that identifies you from this set of medical information so others may use it to study health care and health care delivery without learning who the specific patients are.

<u>Treatment Alternatives.</u> We may use and disclose medical information to tell you about or recommend possible treatment options or alternatives that may be of interest to you.

<u>Health-Related Benefits and Services.</u> We may use and disclose medical information to tell you about health-related benefits or services that may be of interest to you.

Individuals Involved in Your Care or Payment for Your Care. We may release medical information about you to a friend or family member who is involved in your medical care. We may also give information to someone who helps pay for your care. We may also tell your family and friends that you are in the office. In addition we may disclose medical information about you to an entity assisting in a disaster relief effort so that your family can be notified about your condition.

As Required By Law. We will disclose medical information about you when required to do so by federal, state or local law.

To Avert a Serious Threat to Health or Safety. We may use and disclose medical information about you when necessary to prevent a serious threat to your health and safety or the health and safety of the public or another person. Any disclosure, however, would only be to someone able to help prevent the threat.

SPECIAL SITUATIONS

<u>Organ and Tissue Donation.</u> If you are an organ donor we may release medical information to organizations that handle eye or tissue transplantation or to an organ donation bank, as necessary to facilitate organ or tissue donation and transplantation.

<u>Military and Veterans.</u> If you are a member of the armed forces, we may release medical information about you as required by military command authorities. We may also release medical information about foreign military personnel to the appropriate foreign military authority.

Worker's Compensation. We may release medical information about you for worker's compensation or similar programs. These programs provide benefits for work-related injuries or illness.

<u>Public Health Risks.</u> We may disclose medical information about you for public health activities. These activities generally include the following:

- To prevent or control disease, injury or disability;
- To report births and deaths;
- To report child abuse or neglect;
- To report reactions to medications or problems with products;
- To notify people of recalls of products they may be using;
- To notify a person who may have been exposed to a disease or may be at risk for contracting or spreading a disease or condition;
- To notify the appropriate government authority if we believe a
 patient has been the victim of abuse, neglect or domestic
 violence. We will only make this disclosure if you agree or
 when required or authorized by law.

Health Oversight Activities. We may disclose medical information to a health oversight agency for activities authorized by law. These oversight activities include, for example, audits, investigations, inspections and licensure. These activities are necessary for the government to monitor the health care system, government programs, and compliance with civil rights laws.

<u>Lawsuits and Dispute.</u> If you are involved in a lawsuit or a dispute, we may disclose medical information about you in response to a court or administrative order. We may also disclose medical information to a subpoena, discovery request, or other lawful process by someone else involved in the dispute, but only if efforts have been made to tell you about the request or to obtain an order protecting the information requested.

<u>Law Enforcement.</u> We may release medical information if asked to do so by a law enforcement official:

- In response to a court order, subpoena, warrant, summons or similar process.
- To identify or locate a suspect, fugitive, material witness, or missing person.
- About the victim of a crime if, under certain limited circumstances, we are unable to obtain the person's agreement.
- About a death we believe may be the result of criminal conduct.
- About criminal conduct at the office; and
- In emergency circumstances to report a crime; the location of the crime or victims; or the identity, description or location of the person who committed the crime.

Coroners, Medical Examiners and Funeral Directors. We may release medical information to a coroner or medical examiner. This may be necessary, for example to identify a deceased person or determine the cause of death. We may also release medical information about patients of the hospital to funeral directors as necessary to carry out their duties.

<u>National Security and Intelligence Activities.</u> We may release medical information about you to authorized federal officials for intelligence, and other national security activities authorized by law.

<u>Protective Services for the President and Others.</u> We may disclose medical information about you to authorized federal officials so they may provide protection to the President, other authorized persons or foreign heads of state or conduct special investigations.

<u>Inmates.</u> If you are an inmate of a correctional institution or under the custody of a law enforcement official, we may release medical

information about you to the correctional institution or law enforcement official. This release would be necessary (1) for the institution to provide you with health care; (2) to protect your health and safety or the health and safety of others; or (3) for the safety and security of the correctional institution.

YOUR RIGHTS REGARDING MEDICAL INFORMATION ABOUT YOU.

Right to Inspect and Copy. You have the right to inspect and copy medical information that may be used to make decisions about your care. Usually, this includes medical and billing records, but does not include psychotherapy notes.

To inspect and copy medical information that may be used to make decisions about you, you must submit your request in writing to our Medical Records Department. If you request a copy of the information, we may charge a fee for the costs of copying, mailing or other supplies associated with your request.

We may deny your request to inspect and copy, in certain very limited circumstances. If you are denied access to medical information, you may request that the denial be reviewed. Another licensed health care professional chosen to the office will review your request and the denial. The person conducting the review will not be the person who denied your request. We will comply with the outcome of the review.

Right to Amend. If you feel that medical information we have about you is incorrect or incomplete, you may ask us to amend the information. You have the right to request an amendment for as long as the information is kept by or for the medical practice.

To request an amendment, your request must be made in writing and submitted to the Medical Records Department. In addition, you must provide a reason that supports your request.

We may deny your request for an amendment if it is not made in writing or does not include a reason to support the request. In addition if you ask us to amend information that:

- Was not created by us, unless the person or entity that created the information is no longer available to make the amendment;
- Is not part of the medical information kept by or for the medical practice
- Is not part of the information which you would be permitted to inspect or copy;
- Is accurate and complete.

Right to an Accounting of Disclosures. You have the right to request an "accounting of disclosures." This is a list of the disclosures we made of medical information about you.

To request this list or accounting of disclosures, you must submit your request in writing to the Billing Department. Your request must state a time period, which may not be longer than six years and may not include dates before April 14,2003. The first list you request within a 12-month period will be free. For additional lists, we may charge you for the costs involved and you may chose to withdraw or modify your request at that time, before and costs are incurred.

<u>Right to Request Restrictions.</u> You have the right to request a restriction or limitation on the medical information we use or disclose

about you for treatment, payment or health care operations. You also have the right to request a limit on the medical information we disclose about you to someone who is involved in your care, like a family member or friend. For example, you could ask that we not use or disclose information about a surgery that you had.

We are not required to agree to your request. If we do agree, we will comply with your request unless the information is needed to provide you emergency treatment.

To request restrictions, you must make your request in writing to our Medical Records Department.

In your request, you must tell us (1) what information you want to limit; (2) whether you want to limit our use, disclosure or both; and (3) to whom you want the limits to apply, for example, disclosures to your spouse.

Right to Request Confidential Communications. You have the right to a paper copy of this notice. You may ask us to give you a copy of this notice at any time. To obtain a paper copy of this notice contact the Medical Records Department.

CHANGES TO THIS NOTICE

We reserve the right to change this notice. We reserve the right to make the revised or changed notice effective for medical information that we already have about you as well as any information we receive in the future. We will make available a copy of the current notice in the office. The notice will contain on the first page, in the top right hand corner, the effective date. In addition, each time you register at this office for treatment or health care services, a copy of the current notice in effect will be available for review.

COMPLAINTS

If you believe your privacy rights have been violated, you may file a complaint with Eye Care & Surgery or with the Secretary of the Department of Health and Human Services. To file a complaint with our office contact Velma Powell, Front Manager. All complaints must be submitted in writing.

You will not be penalized for filing a complaint

OTHER USES OF MEDICAL INFORMATION.

Other uses and disclosures of medical information not covered by this notice or the laws that apply to us will be made only with your written permission. If you provide us permission to use or disclose medical information about you, you may revoke that permission, in writing, at any time. If you revoke your permission, we will not longer use or disclose medical information about you for the reasons covered by your written authorization. You understand that we are unable to take back any disclosures we have already made with your permission, and that we are required to retain our records of the care that we provided to you.

Revised 12/01/05